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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/054,745	01/22/2002	Brendan M. Donohoe	057

Cardica, Inc
171 Jefferson Drive
Menlo Park, CA 94025



CONFIRMATION NO. 3564

FORMALITIES LETTER



OC000000007640379

Date Mailed: 03/14/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$369.
 ■ \$369 for 41 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 804.**

A copy of this notice MUST be returned with the reply.

Tseyeredu

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/05/2002 SFELENE1 00000144 10054745

01 FC:201 370.00 00
02 FC:203 369.00 00
03 FC:205 65.00 00



04-04-82
MPX
April 2, 2002

Box Missing Parts
Commissioner For Patents
Washington, D.C. 20231

Re: Applicant(s): Donohoe, Brendan M.; et. al.
Assignee: Cardica, Inc.
Title: Method and Apparatus for Creating an Opening in the Wall of a
Tubular Vessel
Serial No.: 10/054,745
Examiner: Not yet assigned
Docket No.: 057
Filed: January 22, 2002
Group Art Unit: 3731

Dear Sir:

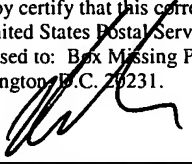
Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Copy of Notice to File Missing Parts of Nonprovisional Application;
- (3) Declaration for Patent Application and Power of Attorney (executed in counterparts);
- (4) Assignment (executed in counterparts);
- (5) Cover sheet for assignment;
- (6) Check no. 5413 in the amount of \$844.00; and
- (7) Return postcard.

The check in the amount of \$844.00 includes \$804.00 in accordance with the attached Copy of Notice to File Missing Parts of Nonprovisional Application, and \$40.00 for recordation of the enclosed Assignment.

Please charge Deposit Account 502108 for any additional fees required, and credit deposit account 502108 any amounts overpaid.

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, D.C. 20231.



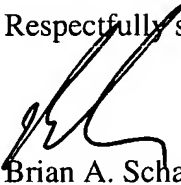
Attorney for Applicant(s)

April 2, 2002

Date of Signature

EXPRESS MAIL NO. EV060921845US

Respectfully submitted,


Brian A. Schar
Reg. No. 45,076
Chief Patent Counsel
Cardica, Inc.
171 Jefferson Drive
Menlo Park, CA 94025